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LEPANTO BUILDING,
Bel-Air, CITY OF MAKATI, METRO MANILA
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Company Name: YAPSTER E-INSURANCE BROKERS, INC.
SEC Registration #: A2000005772



15062020144148

GIS: Yes
AFS: No
Return Copy: Yes



15062020144148

Securities and Exchange Commission
SEC Central Receiving Area
Ground Floor, Secretariat Bldg.
PICC Complex, Roxas Blvd, Pasay City



Instructions

1. Cut along the dashed line.
2. Attach to the back of the long brown envelope as seen in the image below.



3. Put inside the envelope 4 copies of GIS then seal.
4. Proceed to any nearest courier to submit.
5. Prepare prepaid return envelope and include it in the package.

16C
6/16/20



Checklist for Components of Financial Statements

For STOCK Corporations

- ☐ Four (4) copies including Original set
- ☐ Cover Sheet
- ☐ **Original BIR / bank stamp "RECEIVED" on:**
 - Auditor's Report
 - Balance Sheet / Statement of Financial Position
 - Income Statement
- ☐ BDA registration number of external auditor with **expiration date**
- ☐ Statement of Management's Responsibility over the Financial Statements signed by **ALL** of the ff:
 - ☐ President / Chief Executive Officer
 - ☐ Chairman of the Board
 - ☐ Treasurer / Chief Finance Officer
 - ☐ Officer/s holding positions equivalent to the aforementioned as may be authorized in the By-Laws
- ☐ Auditor's Report¹ originally signed
- ☐ Balance Sheet / Statement of Financial Position
- ☐ Income Statement²
- ☐ Cash Flow Statement
- ☐ Statement of Changes in Equity³
- ☐ Notes to Financial Statements
- ☐ Supplemental written statement of External Auditor on the number of stockholders
- ☐ Soft copy of General Form for Financial Statements (GFFS) or Special Form for Financial Statements (SFFS)⁴

¹ **Auditor's Report** for companies with total assets or total liabilities of P600,000.00 or more

Branch Office/Representative Office with assigned capital in the equivalent amount of P1 million or more

Treasurer's Certification for: corporations with less than P600,000.00 total assets or total liabilities

Branch Office/Representative Office with assigned capital in the equivalent amount of less than P1 million or more

² **NOT** required if the company was not in operation during the two comparative years

³ **NOT** required if the only change in equity is the net income/loss for the period. This is applicable only to PFRS for SMEs

⁴ **Applicable** to corporations required to submit the General Form for Financial Statements (GFFS) and Special Form for Financial Statements (SFFS) in CD or diskette per Memorandum Circular No. 6, Series of 2006.

For NON-STOCK corporations, BRANCH and REGIONAL offices

- ☐ Four (4) copies including Original set
- ☐ Cover Sheet
- ☐ **Original BIR / bank stamp "RECEIVED" on:**
 - ☐ Auditor's Report
 - ☐ Balance Sheet / Statement of Financial Position
 - ☐ Income Statement
- ☐ BDA registration number of external auditor with **expiration date**
- ☐ Statement of Management's Responsibility over the Financial Statements signed by **ALL** of the ff:
 - ☐ President / Chief Executive Officer
 - ☐ Chairman of the Board
 - ☐ Treasurer / Chief Finance Officer
 - ☐ Officer/s holding positions equivalent to the aforementioned as may be authorized in the By-Laws
- ☐ Auditor's Report originally signed
If not required, notarized Treasurer's Certification¹
- ☐ Balance Sheet / Statement of Financial Position
- ☐ Statement of Receipts and Disbursements²
- ☐ Cash Flow Statement
- ☐ Statement of Fund Balance³
- ☐ Notes to Financial Statements

¹ **Treasurer's Certification** for: (see below)

Corporations with less than P600,000.00 total assets or total liabilities

Branch Office/Representative Office with assigned capital in the equivalent amount of less than P1 Million

Regional Operating Headquarters with total revenue in the equivalent amount of less than P1 Million

Auditors Report for companies with total assets or total liabilities of P600,000.00 or more.

Branch Office/Representative Office with assigned capital in the equivalent amount of less than P1 Million

Regional Operating Headquarters with total revenue in the equivalent amount of less than P1 Million

² **NOT** required if the company was not in operation during the two comparative years

³ **NOT** required if the only change in equity is the net income/loss for the period. (This is applicable only to PFRS for SMEs)

⁴ (1) Statement of Financial Position

(2) Statement of Fund Balance

(3) Income Statement or Statement of Receipts/Disbursements;

(4) Applicable explanatory notes

Checklist for Components of General Information Sheet

- ☐ Four (4) copies including Original set

To determine that the following entries are properly filled up:

- ☐ Corporate Name
- ☐ Date Registered
- ☐ SEC Registration No.
- ☐ Fiscal Year End
- ☐ Annual Meeting per By-Laws
- ☐ Actual Date of Meeting
- ☐ Corporate Tax Identification Number (TIN)
- ☐ Complete Principal Office Address
- ☐ Primary Purpose
- ☐ Name of Directors / Officers
- ☐ Stockholders' information (for Stock Corporation)
- ☐ TIN for Filipinos and Foreigners
- ☐ Anti-Money Laundering Act (AMLA) – page 1-A
- ☐ Beneficial Ownership Declaration Form
- ☐ Page numbers must be in numerical order
- ☐ ORIGINAL signature of the Corporate Secretary
- ☐ Must be NOTARIZED

**ALL DOCUMENTS
ARE SUBJECT TO
REVIEW OF FORM
AND CONTENTS**

TO: SECURITIES AND EXCHANGE COMMISSION

SEC Bldg., EDSA, Greenhills, Mandaluyong City

RE:

YAPSTER E-INSURANCE BROKERS, INC.

I, JAEMY M VILLANUEVA

OPERATIONS MANAGER (Name of Corporation)

, in behalf of

the corporation, hereby state that the:

(Printed Name)

(Position / Title)

☐ Financial Statements (FS) ☒ General Information Sheet (GIS) ☐ Others (specify) _____ is **complete** in form based on the above checklist and **compliant** with the requirements of Rule 68, as amended and other applicable guidelines for submission of reports.

Accordingly, we undertake to pay any and all penalties and/or fines that the Securities and Exchange Commission may impose on the company due to verified violation of existing laws, rules and regulations.

Signed this _____ day of _____, 20____ at _____.

Reference Number: 15062020144148

Signature: _____

TIN: _____

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2020

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: YAPSTER E-INSURANCE BROKERS, INC.		DATE REGISTERED: 5/16/2000
BUSINESS/TRADE NAME: SAME AS ABOVE		FISCAL YEAR END: December 31
SEC REGISTRATION NUMBER: A200005772		CORPORATE TAX IDENTIFICATION NUMBER (TIN) 206-391-202-000
DATE OF ANNUAL MEETING PER BY-LAWS: 4TH MONDAY OF MARCH		WEBSITE/URL ADDRESS:
ACTUAL DATE OF ANNUAL MEETING: 23-Mar-20		E-MAIL ADDRESS:
COMPLETE PRINCIPAL OFFICE ADDRESS: 17F LEPANTO BUILDING, 8747 PASEO DE ROXAS, MAKATI CITY		FAX NUMBER:
COMPLETE BUSINESS ADDRESS: 17F LEPANTO BUILDING, 8747 PASEO DE ROXAS, MAKATI CITY		TELEPHONE NUMBER(S): 893-1111
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: Aquino, Mata, Calica & Associates (AMC)		SEC ACCREDITATION NUMBER (if applicable):
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: TO ACQUIRE BY PURCHASE OR LEASE, OR OTHERWISE LANDS AND INTEREST IN LANDS, AND TO OWN, HOLD, IMPROVE, DEVELOP AND MANAGE ANY REAL ESTATE	INDUSTRY CLASSIFICATION:	GEOGRAPHICAL CODE:

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS

NOTE: USE ADDITIONAL SHEET IF NECESSARY

STOCK CORPORATION

PLEASE PRINT LEGIBLY

Corporate Name: YAPSTER E-INSURANCE BROKERS, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) ☐ Yes ☒ No

Please check the appropriate box:

1.

- ☐ a. Banks
☐ b. Offshore Banking Units
☐ c. Quasi-Banks
☐ d. Trust Entities
☐ e. Non-Stock Savings and Loan Associations
☐ f. Pawnshops
☐ g. Foreign Exchange Dealers
☐ h. Money Changers
☐ i. Remittance Agents
☐ j. Electronic Money Issuers
☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☐ a. Insurance Companies
☒ b. Insurance Agents
☐ c. Insurance Brokers
☐ d. Professional Reinsurers
☐ e. Reinsurance Brokers
☐ f. Holding Companies
☐ g. Holding Company Systems
☐ h. Pre-need Companies
☐ i. Mutual Benefit Association
☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers
☐ b. Securities Brokers
☐ c. Securities Salesman
☐ d. Investment Houses
☐ e. Investment Agents and Consultants
☐ f. Trading Advisors
☐ g. Other entities managing Securities or rendering similar services
☐ h. Mutual Funds or Open-end Investment Companies
☐ i. Close-end Investment Companies
☐ j. Common Trust Funds or Issuers and other similar entities
☐ k. Transfer Companies and other similar entities
☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
☐ m. Entities administering or otherwise dealing in valuable objects
☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
☐ b. management of bank, savings or securities accounts
☐ c. organization of contributions for the creation, operation or management of companies
☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☐ None of the above

Describe nature of business:

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

☐ Yes ☒ No

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: YAPSTER E-INSURANCE BROKERS, INC.							
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
		TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE		AMOUNT (PhP) (No. of shares X Par/Stated Value)	
		COMMON	10,000,000	1.00		10,000,000.00	
TOTAL			10,000,000	TOTAL P		10,000,000.00	
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	7	COMMON	10,000,000		1.00	10,000,000.00	
TOTAL			10,000,000	TOTAL	TOTAL P	10,000,000.00	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
N/A							
Percentage of Foreign Equity: TOTAL				TOTAL	TOTAL P		
						TOTAL SUBSCRIBED P	
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE		AMOUNT (PhP)	% OF OWNERSHIP
	7	COMMON	10,000,000	1.00		10,000,000.00	
TOTAL			10,000,000	TOTAL P		10,000,000.00	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE		AMOUNT (PhP)	% OF OWNERSHIP
0.00 % TOTAL				TOTAL P		10,000,000.00	
				TOTAL PAID-UP P			
NOTE: USE ADDITIONAL SHEET IF NECESSARY							
* Common, Preferred or other classification							
** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.							

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: YAPSTER E-INSURANCE BROKERS, INC.

DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. FERDINAND Y. YAP	FILIPINO	Y	C	M	Y	PRESIDENT		
2. LINDSAY L. CHUA	FILIPINO	Y	M	F	Y	TREASURER		
3. EDEN C. CALDE	FILIPINO	Y	M	F	Y	CORPORATE SECRETARY		
4. CASSANDRA LIANNE S. YAP	FILIPINO	Y	M	F	Y	N/A		
5. CINDY LOUISE S. YAP	FILIPINO	Y	M	F	Y	N/A		
6.								
7.								
8.								
9.								
10.								
11.								
12.								
13.								
14.								
15.								

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====							
CORPORATE NAME:		YAPSTER E-INSURANCE BROKERS, INC.					
TOTAL NUMBER OF STOCKHOLDERS:		7	NO. OF STOCKHOLDERS WITH 100 OR MORE SH. 2				
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:							
STOCKHOLDER'S INFORMATION							
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER	
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP			
1. YAPSTER E-CONGLOMERATE	C	3,999,996	3,999,996.00		3,999,996.00		
	TOTAL	3,999,996	3,999,996.00				
2. VALIDUS HOLDINGS & INVESTMENTS INC.	C	5,999,999	5,999,999.00		5,999,999.00		
	TOTAL	5,999,999	5,999,999.00				
3. FERDINAND Y. YAP FILIPINO	C	1	1.00		1.00	928-442-356	
	TOTAL	1	1.00				
4. LINDSAY L. CHUA FILIPINO	C	1	1.00		1.00	211-424-354	
	TOTAL	1	1.00				
5. EDEN C. CALDE FILIPINO	C	1	1.00		1.00	155-223-397	
	TOTAL	1	1.00				
6. CASSANDRA LIANNE S. YAP		1	1.00		1.00	274-000578	
	TOTAL	1	1.00				
7. CINDY LOUISE S. YAP		1	1.00		1.00	192-981-546	
	TOTAL	1	1.00				
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%	10,000,000.00		
TOTAL AMOUNT OF PAID-UP CAPITAL							

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: YAPSTER E-INSURANCE BROKERS, INC.						
TOTAL NUMBER OF STOCKHOLDERS:				NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		
TOTAL ASSETS BASED ON LATEST AUDITED FS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
8.						
	TOTAL					
9.						
	TOTAL					
10.						
	TOTAL					
11.						
	TOTAL					
12.						
	TOTAL					
13.						
	TOTAL					
14.						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%	0.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<small>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</small>						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: YAPSTER E-INSURANCE BROKERS, INC.						
TOTAL NUMBER OF STOCKHOLDERS:				NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		
TOTAL ASSETS BASED ON LATEST AUDITED FS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
15.						
	TOTAL					
16.						
	TOTAL					
17.						
	TOTAL					
18.						
	TOTAL					
19.						
	TOTAL					
20.						
	TOTAL					
21. OTHERS (Indicate the number of the remaining stockholders)						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%	0.00	
TOTAL AMOUNT OF PAID-UP CAPITAL					0.00	
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<small>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</small>						

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: YAPSTER E-INSURANCE BROKERS, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS			
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH			
5.2 STOCK			
5.3 PROPERTY			
TOTAL	P		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.			
DATE ISSUED:			
DATE STARTED OPERATIONS:			
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT

NOTE: USE ADDITIONAL SHEET IF NECESSARY

BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER:

CORPORATE NAME:

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
FERDINAND Y. YAP	18 L. BACAYO ST., GUADALUPE, CEBU CITY	FILIPINO	March 13, 1980	928-442-356	1%	D	D

Note: This page is not for uploading on the SEC IView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.